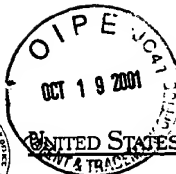


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,821	08/17/2001	Katherine D. Gordon	1540/140

CONFIRMATION NO. 4514

FORMALITIES LETTER



OC000000006581852

 02101
 BROMBERG & SUNSTEIN LLP
 125 SUMMER STREET
 BOSTON, MA 02110-1618

DOCKETED

11/14/2001 TV0111 00000007 09932821

01 FC:203

45.00 OP

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

10/22/2001 SDIRETA1 00000038 09932821

02 FC:201 370.00 OP

03 FC:205 65.00 OP

04 FC:202 42.00 OP

05 FC:203 207.00 OP

06 FC:299 162.00 CR

Filing Date Granted

An application number ~~09/932,821~~ date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$170.
 - \$90 for 5 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1010.

 Adjustment date: 11/14/2001 TV0111
 10/22/2001 SDIRETA1 00000038 09932821

05 FC:203 207.00 OP

 Repln. Ref: 11/14/2001 TV0111 0009354900
 DA#:194972 Name/Number:09932821
 FC: 704

\$162.00 CR

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Practitioner's Docket No. 1540/140

Section #
#3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gordon, Katherine D.; Leonard, Robert J.
Application No.: 09/932,821 Group No.: 1614
Filed: August 17, 2001 Examiner: N/A
For: Novel Formulations for Administering Therapeutic Lipophilic Molecules

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed September 20, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

SMALL ENTITY STATUS

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office at (703) ____ - ____.

Date: October 16, 2001


Signature

Harriet M. Strimpel

(type or print name of person certifying)

III. A statement that this filing is by a small entity is attached.

COMPLETION FEES

IV.

1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$370.00
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2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$42.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$210.00
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3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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4. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
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Total Completion Fees	\$727.00
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EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VI. The total fee due is:

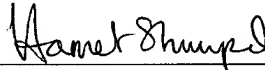
Completion fees	\$727.00
Extension fee (if any)	\$0.00
Total Fee Due	\$727.00

PAYMENT OF FEES

VII. Enclosed is a check in the amount of 727.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

Date: October 16, 2001



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